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10/22/2007

DUANE MORRIS, LLP
IP DEPARTMENT
30 SOUTH 17TH STREET
PHILADELPHIA, PA 19103-4196

12/13/2007 HDEMESS2 00000053 041679 10001521

01 FC:2501 720.00 DA

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Maria E. Provencio

(Depositor's name)

Maria E. Provencio

(Signature)

December 12, 2007

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/001,521

10/26/2001

James C. Phillips

D8505-00002

2576

TITLE OF INVENTION: INTEGRATION OF LEGACY MAINFRAME SYSTEMS THROUGH DATA STREAM OBJECTIFICATION INTO FINITE STATE MACHINES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

YES

\$720

\$0

\$0

\$720

01/22/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
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HARRELL, ROBERT B

2142

709-203000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Duane Morris LLP

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

VESTACARE, INC.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

LA JOLLA, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date December 12, 2007

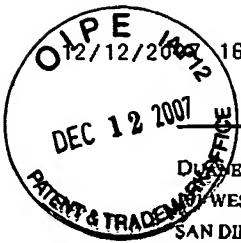
Typed or printed name

James Y.C. Sze

Registration No. 43,943

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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001/004

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Attorney Docket No.: D8505-00002

FACSIMILE TRANSMITTAL SHEET

In re application of: **James C. Phillips**

Examiner: **Robert B. Harrell**

Serial No.: **10/001,521**

Group Art Unit: **2142**

Filed: **10/26/2001**

Confirmation No.: **2576**

**For: INTEGRATION OF LEGACY MAINFRAME SYSTEMS THROUGH DATA
STREAM OBJECTIFICATION INTO FINITE STATE MACHINES**

To: Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FACSIMILE NUMBER: 571-273-2885

CONFIRMATION

TELEPHONE:

FROM: James Y.C. Sze

DIRECT DIAL: 619.744.2293

DATE: December 12, 2007

TOTAL # OF PAGES:
(INCLUDING COVERSHEET)

4

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/001,521
	Filing Date	10/26/2001
	First Named Inventor	James C. Phillips
	Art Unit	2142
	Examiner Name	Robert B. Harrell
Total Number of Pages in This Submission	Attorney Docket Number	D8505-00002

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Duane Morris LLP		
Signature			
Printed name	James Y.C. Sze		
Date	December 12, 2007	Reg. No.	43,943

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Signature			
Typed or printed name	Maria E. Provencio	Date	December 12, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DM2/1325873